

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:	)	
Keith A. Hoffman	)	
	)	
Serial No.:	10/536,671	)
		)
Filed:	12/13/2005	)
		)
Examiner:	Janet Marie Wilkens	)
		)
Art Unit:	3637	)
		)
For:	INTERLOCK MECHANISM	)
	FOR LATERAL FILE	)
	CABINETS	)

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

**AMENDMENT, PETITION AND FEE TO ADD NAMES OF INVENTOR(S) OF INVENTION  
ORIGINALLY DISCLOSED AND NOW BEING CLAIMED  
(37 C.F.R. 1.48(c))  
— NON-PROVISIONAL APPLICATION —**

Dear Sir/Madam:

1. This Amendment and petition under 37 C.F.R. §1.48(c) is to add the name of the following person as an additional inventor and who is an inventor of the invention now being claimed:

Sharon M. Carson

2. Claims Now on File

The claims pending and allowed in this application are as follows:

claims 1-2, 4-11, 15, 65-74.

3. Statement From Each Person Being Added As Inventor (37 C.F.R. § 1.48(c)(1))
- ☒ Attached is a statement from each person being added as an inventor that the amendment is necessitated by amendment of the claims and that the inventorship error occurred without deceptive intent on his or her part.
4. Declaration (37 C.F.R. § 1.42, 1.43 or 1.47)
- ☒ Attached is a declaration by the actual inventors as required by § 1.63 (or as permitted by §§ 1.42, 1.43 or 1.47).
5. Assent of Assignee of Any Originally Named Inventor(s) (37 C.F.R. § 1.48(c)(4))
- ☒ Attached is the written consent of the assignee of the originally named inventors.
6. Fee (37 C.F.R. § 1.17(i))

The fee required by Cook Alex Ltd. is paid as follows:

- ☒ Charge \$130.00 to Deposit Account No. 50-1039. The Director of the United States Patent and Trademark Office is authorized to charge any fees to deposit account 50-1039, should there be any additional fees relating to this Amendment/Petition.

Respectfully submitted,



David M. Thimmig  
Registration No. 36,034

Cook Alex Ltd.  
200 West Adams Street, Suite 2850  
Chicago, Illinois 60606  
312-263-8500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

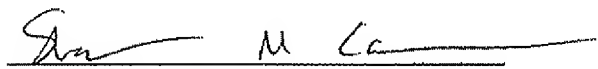
In Re Application of: )  
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Alexandria, Virginia 22313-1450

STATEMENT PURSUANT TO 37 C.F.R. 1.48(c)(2)

Dear Sir/Madam:

I, Sharon M. Carson, state that the addition of myself as an inventor of the invention claimed in the above-identified patent application was necessitated by the filing of Amendments filed on September 7, 2007, March 20, 2008 and July 17, 2008, and that this inventorship error occurred without deceptive intent on the part of the undersigned. It is my understanding that the need to add myself as an inventor was realized by the first named inventor, after receipt of a Notice of Allowance of the pending claims.

  
Sharon M. Carson

Dec 12 2008  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Dec 12, 2008  
Date

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# **SUPPLEMENTAL DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.67)**

Attorney Docket Number	3202-0004
First Named Inventor	Keith A. Hoffman
COMPLETE IF KNOWN	
Application Number	10/536,671
Filing Date	December 13, 2005
Art Unit	3637
Examiner Name	Janet Marie Wilkens

I hereby declare that:

Each Inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INTERLOCK MECHANISM FOR LATERAL FILE CABINETS

(Title of the Invention)

the application of which

☐ Is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/13/2005 as United States Application Number or PCT International

Application Number 10/536,671 and was amended on (MM/DD/YYYY) 09/07/2007; 03/20/2008; and 07/17/2008

I hereby declare that the subject matter of the ☐ attached amendment ☒ amendment filed on 09/07/2007; 03/20/2008; and 07/17/2008 was part of the invention and was invented before the filing date of the original application, above identified for such invention.

I hereby state that I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
PCT/US03/38001	PCT	11/26/2003	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

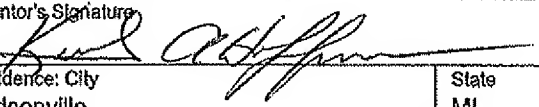
[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED APPLICATIONS TO THIS ADDRESS.

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## SUPPLEMENTAL DECLARATION — UTILITY OR DESIGN PATENT APPLICATION

Direct all correspondence to: <input checked="" type="checkbox"/> The address associated with Customer Number:		26568	
OR <input type="checkbox"/> Correspondence address below			
Name			
Address			
Address			
City		State	ZIP
Country	Telephone		Email
<b>WARNING:</b> Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Kelth A.		Family Name or Surname Hoffman	
Inventor's Signature 			Date Dec 12, 2008
Residence: City Hudsonville	State MI	Country USA	Citizenship USA
Mailing Address 6840 Bridgeport Dr.			
Mailing Address			
City Hudsonville	State MI	Zip 49426	Country USA
<input checked="" type="checkbox"/> Additional inventor(s) or legal representative(s) are being named on the 1 supplemental sheet(s) PTO/SB/ 02A or 02LR attached hereto.			

(Page 2 of 2)

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet <span style="float: right;">Page 1 of 1</span>
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<b>Name of Additional Joint Inventor, If any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Sharon M.		Carson	
Inventor's Signature <i>Sharon M Carson</i>		Date	
Zeeland Residence: City	MI State	USA Country	USA Citizenship
8219 South Maple Ct.  Mailing Address			
Zeeland City	MI State	49464 Zip	USA Country
<b>Name of Additional Joint Inventor, If any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country
<b>Name of Additional Joint Inventor, If any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

This collection of information is required by 35 U.S.C. 118 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 422 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Keith A. Hoffman )  
Serial No.: 10/536,671 )  
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Art Unit: 3637 )  
For: INTERLOCK MECHANISM )  
FOR LATERAL FILE )  
CABINETS )


Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

ASSENT OF ASSIGNEE TO CORRECTION AND/OR  
ADDITION OF INVENTOR(S)

Knapé & Vogt Manufacturing Co., 2700 Oak Industrial Drive, N.E., Grand Rapids, Michigan  
49505, assignee of the above-identified application, pursuant to an assignment, a copy of which is  
filed herewith, hereby assents to the correction of inventorship filed herewith.

A "Statement Under 37 C.F.R. § 3.73(b)" also is attached.

Knapé & Vogt Manufacturing Co.

By:   
Linda Jo Carron  
Title: Corporate Attorney  
Knapé & Vogt Manufacturing Co.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Knapé & Vogt Manufacturing Co.

Application No./Patent No.: 10/536,671 Filed/Issue Date: December 13, 2005

Entitled: INTERLOCK MECHANISM FOR LATERAL FILE CABINETS

Knapé & Vogt Manufacturing Co., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

In the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Linda Jo Carron  
Signature

12-11-08  
Date  
616-459-3311, ext. 256

Linda Jo Carron

Printed or Typed Name

Telephone Number

Corporate Attorney, Knapé & Vogt Manufacturing Co.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

### ASSIGNMENT

In consideration of ONE DOLLAR (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Keith A. Hoffman and Sharon M. Carson (hereinafter referred to as Assignors) assign to Knape & Vogt Manufacturing Co., (hereinafter referred to as Assignee), a Michigan corporation, having a principal place of business at 2700 Oak Industrial Drive, N.E., Grand Rapids, Michigan 49505, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in Assignors' invention or improvement in INTERLOCK MECHANISM FOR LATERAL FILE CABINETS, as described in United States Patent Application Serial No. 10/536,671, and filed on December 13, 2005, and in PCT Application No. PCT/US03/38001, filed November 26, 2003, and in any and all other United States applications, regular and provisional, and applications in any and all countries which Assignors may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained or any of the said applications, and, if provisional, in any regular application referring thereto pursuant to 35 USC 119(e) or other internal priority legislation, and in any division, continuation, reexamination, reissue or extension of any of the foregoing.

Assignors hereby authorize and request the Commissioner of Patents to issue any Letters Patent on said invention or improvement to Knape & Vogt Manufacturing Co.

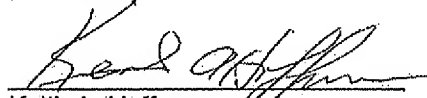
Assignors warrant that they are the owners of the entire right, title and interest in and to the invention and patent application herein assigned and have the right to make this assignment.

For said consideration Assignors hereby agree upon the request and at the expense of said Assignee, its successors, legal representatives and assigns, to execute any and all regular, divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said Assignee, its successors, legal representatives and assigns may deem necessary or expedient.

For said consideration Assignors further agree upon the request and at the expense of said Assignee, its successors, legal representatives and assigns, in the event of said application, or any regular application referring thereto, or any continuation or division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference or any other contested matter to cooperate to the best of their ability with said Assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement or other such document and giving and producing evidence in support thereof. Assignors further agree to perform, upon such request, any and all affirmative acts to obtain said Letters Patent, and vest all rights therein hereby conveyed in the said Assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said Assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by Assignors if this assignment and sale had not been made.

For said consideration, Assignors also assign to said Assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications

in all countries arising under any applicable International convention for the protection of industrial property and/or any internal priority legislation of such countries, and Assignors agree upon the request and at the expense of said Assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent thereof, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee.

  
Keith A. Hoffman

Date: Dec 12, 2008

SUBSCRIBED and SWORN to before me this 12<sup>th</sup> day of  
December, 2008.

  
NOTARY PUBLIC

LINDA JO CARRON  
Notary Public, State of Michigan  
County of Kent  
My Commission Expires: 10/01/2013

Seal

  
Sharon M. Carson

Date: Dec 12, 2008

SUBSCRIBED and SWORN to before me this 12<sup>th</sup> day of  
December, 2008.

  
NOTARY PUBLIC

LINDA JO CARRON  
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### ASSIGNMENT

In consideration of ONE DOLLAR (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Keith A. Hoffman (hereinafter referred to as Assignor) assigns to Knap & Vogt Manufacturing Co., (hereinafter referred to as Assignee), a Michigan corporation, having a principal place of business at 2700 Oak Industrial Drive, N.E., Grand Rapids, Michigan 49505, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in Assignor's invention or improvement in INTERLOCK MECHANISM FOR LATERAL FILE CABINETS, as described in United States Provisional Patent Application Serial No. 60/429,772, and filed on November 27, 2002, and in any and all other United States applications, regular and provisional, and applications in any and all countries which Assignor may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained or any of the said applications, and, if provisional, in any regular application referring thereto pursuant to 35 USC 119(e) or other internal priority legislation, and in any division, continuation, reexamination, reissue or extension of any of the foregoing.

Assignor hereby authorizes and requestst the Commilssioner of Patents to issue any Letters Patent on said invention or improvement to Knap & Vogt Manufacturing Co.

Assignor warrants that he is the owner of the entire right, title and interest in and to the invention and patent application herein assigned and has the right to make this assignment.

For said consideration Assignor hereby agrees upon the request and at the expense of said Assignee, its successors, legal representatives and assigns, to execute any and all regular, divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said Assignee, its successors, legal representatives and assigns may deem necessary or expedient.

For said consideration Assignor further agrees upon the request and at the expense of said Assignee, its successors, legal representatives and assigns, in the event of said application, or any regular application referring thereto, or any continuation or division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in Interference or any other contested matter to cooperate to the best of their ability with said Assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement or other such document and giving and producing evidence in support thereof. Assignor further agrees to perform, upon such request, any and all affirmative acts to obtain said Letters Patent, and vest all rights therein hereby conveyed in the said Assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said Assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by Assignors if this assignment and sale had not been made.

For said consideration, Assignor also assigns to said Assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and Assignor agrees upon the request and at the expense of said Assignee, its successors, legal representatives and

assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent thereof, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee.

  
Keith A. Hoffman

Date: Dec. 12, 2008

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